

ANTI-CORRUPTION COLLECTIVE ACTION PLAYBOOK TRAINING



**WORKSHOP REPORT
SEPTEMBER 2022**

**UN GLOBAL COMPACT
NETWORK INDIA**

INTRODUCTION

ANTI CORRUPTION COLLECTIVE ACTION PLAYBOOK TRAINING SERIES 2022



TRAINERS



MR. KRISHNENDU
BISWAS,
CHIEF RISK
OFFICER (CRO),
NHAI INVIT

14TH SEPT



MS. JYOTI
SHEKAR, HEAD
BUSINESS
OPERATIONS
(NORTH), LEGASIS
SERVICES PVT.
LTD.

21ST SEPT



MR. KRISHNENDU
BISWAS, CHIEF
RISK OFFICER
(CRO), NHAI INVIT



MS. SEEMA
CHOUHARY,
NETWORK
MANAGER- INDIA,
ALLIANCE FOR
INTEGRITY - GIZ



DR. SHIV
TRIPATHI, VICE
CHANCELLOR,
ATMIYA
UNIVERSITY,
RAJKOT

27TH SEPT



MR. VENKATA
PHANI, LEAD,
CORPORATE
COMPLIANCE, DR.
REDDY'S
LABORATORIES



MR. DINESH
AGRAWAL,
PRINCIPAL
CONSULTANT,
CONSOCIA
ADVISORY

29TH SEPT



MR. ASHUTOSH
KUMAR MISHRA,
SR. ADVISOR,
PARTNERS FOR
TRANSPARENCY-
FOUNDATION
INDIA



ELEPHANT HALL, UNESCO OFFICE, CHANAYKYPURI



9:30 AM - 4:00 PM

Collective Action is born out of companies' need to foster more ethical, transparent and less corrupt business environments, while mitigating potential business risks. Collective Action can complement, enhance and further develop current and future laws and regulations whenever the latter are weakly enforced or simply nonexistent. Collective Action is evolving toward a "hybrid co-regulation." Formal regulation efforts at a global and national level have increasingly been complemented by self-regulation efforts stemming from proactive cooperation between business actors from specific sectors. This often includes the participation of civil society, the public sector, corporates and other organizations. These complementary approaches have reinforced one another, creating positive synergies which are required from business in the context of the 2030 Agenda for Sustainable Development.

Businesses and societies face complex corruption challenges on a daily basis around the world, and Collective Action is a key approach to slowing the scale of this issue. Multi-stakeholder partnerships are indispensable in order to effectively tackle and solve the perennial sustainable development problems outlined in SDG 17. The evolution of Collective Action is also the overall evolution from Compliance to Integrity. It is not only about individually avoiding and mitigating the risks, pitfalls and likely costs of corruption such as legal or financial, but above all seizing the opportunities and associated benefits of a robust culture of integrity that is fostered and implemented collectively by a committed group of likeminded stakeholders.

BACKGROUND

‘Uniting against Corruption: A Playbook on Anti-Corruption Collective Action’ was developed as part of the UN Global Compact multi-year project Scaling up Anti-Corruption Collective Action within Global Compact Local Networks, under the Third Funding Round of the Siemens Integrity Initiative.

It was conceived, developed and finally launched at the UNGC Leaders’ Summit in June 2021, in an International high-level session moderated by the former Executive Director, UNGCNI Late Ms. Shabnam Siddiqui. It is a practical and flexible roadmap for Anti-Corruption Collective Action, that can be easily adopted, adapted and implemented locally – driven by the Global Compact Local Networks.

With the six-step approach and deep dives on key roles throughout the Collective Action process, the Playbook enables readers to make a clear diagnosis of their local corruption landscape, identify and engage stakeholders and apply the Collective Action methodology to address identified corruption challenges and mitigate potential business risks. Ultimately this Playbook aims to mainstream the understanding and uptake of Collective Action among Global Compact Local Networks, businesses and other relevant stakeholders.

The content in this Playbook builds upon resources developed by the UN Global Compact as part of multiyear projects implemented under the First and Second Funding Rounds of the Siemens Integrity Initiative. Further research was conducted using publications and resources from strategic partners and leading organizations in the field. A series of consultations were conducted with Global Compact Local Network representatives, through an Anti-Corruption Collective Action Working Group, to receive feedback and tailor the Playbook to meet geographic, cultural and other important considerations relevant to their business environments.

AC CA PLAYBOOK TRAINING

UN Global Compact Network India (UN GCNI) organized a training series on the Anti-Corruption Collective Action (AC CA) Playbook in the month of September, 2022. The playbook with the guidelines enables companies to make a clear diagnosis of their local corruption landscape and find solutions with stakeholders’ engagement. Also, it enables the companies/stakeholders to apply the Collective Action methodology to address identified corruption challenges and mitigate potential business risks.

The playbook training materials were customized for the Indian participants. People from across the sectors- Corporates, Health, SOEs, SMEs, CSOs, Academia, Government, individual consultants and lawyers, attended the training and went back with take-aways on AC CA and their role in it. The full-day training was conducted in-person at the UN GCNI office in Delhi (UNESCO Conference Hall, 1 San Martin Marg, Chanakyapuri, Delhi) and the trainers were chosen from like-minded organizations, on the basis of their expertise in the areas of Ethics, Compliance and Anti-Corruption. They have been conversant with the work of UN GCNI in the AC CA space throughout the years and have closely participated in the activities and events of UN GCNI.

The date and trainers for the four training workshops were as follows:

- 1. 14th September 2022: Mr. Krishnendu Biswas, Chief Risk Officer (CRO), NHAI InVIT, and Ms. Jyoti Shekar, Head Business Operations (North), Legasis Services Pvt. Ltd.*
- 2. 21st September 2022: Mr. Krishnendu Biswas, Chief Risk Officer (CRO), NHAI InVIT, and Ms. Seema Choudhary, Network Manager- India, Alliance for Integrity -GIZ*
- 3. 27th September 2022: Dr. Shiv Tripathi, Vice Chancellor, Atmiya University, Rajkot, Gujrat, and Mr. Venkata Phani, Lead, Corporate Compliance, Dr. Reddy's Laboratories Ltd.*
- 4. 29th September 2022: Mr. Dinesh Agrawal, Principal Consultant, Consocia Advisory, and Mr. Ashutosh Kumar Mishra, Sr. Advisor, Partners for Transparency- Foundation India*

Anti-Corruption Collective Action Playbook Training



KRISHNENDU BISWAS

Chief Risk Officer (CRO),
NHAI InVIT



JYOTI SHEKAR

Head - Business Operations (North),
Legasis Private Limited

📍 Elephant Hall, UNESCO Cluster Office,
Chanakyapuri, New Delhi

📅 14th Sept 2022 ⌚ 9:30 AM - 4:00 PM

Anti-Corruption Collective Action Playbook Training



KRISHNENDU BISWAS

Chief Risk Officer (CRO),
NHAI InVIT



SEEMA CHOUDHARY

Network Manager - India,
Alliance for Integrity - GIZ

📍 Elephant Hall, UNESCO Cluster Office,
Chanakyapuri, New Delhi

📅 21st Sept 2022 ⌚ 9:30 AM - 4:00 PM

Anti-Corruption Collective Action Playbook Training



DR. SHIV TRIPATHI

Vice Chancellor
Atmiya University, Rajkot, Gujrat



VENKATA PHANI KIRAN

Lead, Corporate Compliance
Dr. Reddy's Laboratories Ltd.

📍 Elephant Hall, UNESCO Cluster Office,
Chanakyapuri, New Delhi

📅 27th Sept 2022 ⌚ 9:30 AM - 4:00 PM

Anti-Corruption Collective Action Playbook Training



DINESH AGRAWAL

Principal Consultant
Consocia Advisory



ASHUTOSH KUMAR MISHRA

Senior Advisor
PTFI

📍 Elephant Hall, UNESCO Cluster Office,
Chanakyapuri, New Delhi

📅 29th Sept 2022 ⌚ 9:30 AM - 4:00 PM

OUTPUT

OUTCOME

After the training, the participants ...

Had a clear idea of the overall framework and key features of Collective Action as a practical tool to fight corruption.

Understood the different types of Collective Action and how they can be adapted to tackle specific corruption risks in specific business contexts.

Understood the key 6 steps to undertake a Collective Action initiative, including stages such as preparation, development, implementation, evaluation and scaling up.

Able to examine the different roles that are part of the Collective Action process and how they can participate in its different stages.

Understood the corruption challenges of private sectors and other relevant sectors and the strategy to implement and sustain Anti-Corruption Collective Action initiatives.

Enhanced knowledge on AC CA and strengthened engagement of multiple sectors in promoting transparency and integrity in business.

Encouraged the stakeholders from diverse sectors to initiate or facilitate the process of mitigating /eradicating corruption through collective action.

The participants shown their interest to further train relevant officials within their organizations and the associated /connected stakeholders from across the sectors.

The stakeholders attending the training offered GCN India to collaborate with them to develop and implement AC CA project for different sectors/stakeholders including Government.

135 persons/officials from diverse sectors attended the training and developed their plan/strategy to take ACCA initiative forward.

GLIMPSES OF THE TRAINING

Presentations



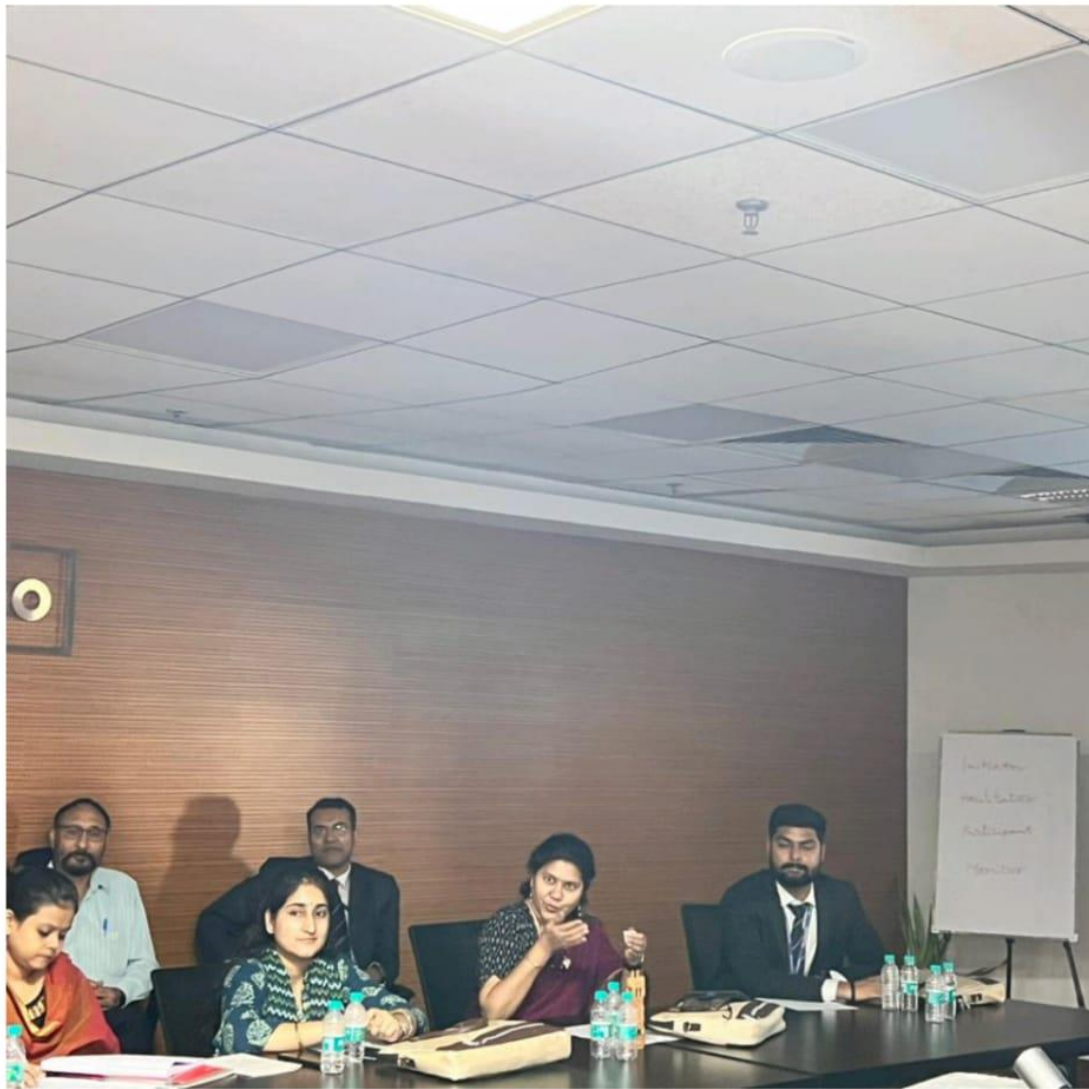
Group Discussions



Roundtable Discussions



Experience Sharing



Group Coverage





Network India